



Design-Build Entity Request for Qualifications

**College of San Mateo Buildings 12/15/17/34 Modernization Project
San Mateo County Community College District**

October 29, 2008

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NOTICE TO DESIGN-BUILD ENTITIES

NOTICE IS HEREBY GIVEN that the San Mateo County Community College District of San Mateo, California, acting through its Governing Board, hereinafter the District, invites Design-Build Entities to a qualification conference to discuss an upcoming design-build project. The project will be at the College of San Mateo.

The qualification conference will be held in the Board Room at the District Administration Building, 3401 CSM Drive, San Mateo, California, 94402 from **9:00 to 10:30 a.m., on Wednesday, November 12, 2008**. Attendance at this qualification conference is mandatory for Design-Build entities that desire to be considered in the qualification process. A representative from the General Contractor that plans to apply for qualification as a Design-Build Entity is required to attend the qualification conference. Other firms that may be design-build subcontractors or design sub-consultants on design-build teams are encouraged to attend, but are not required. The doors to the meeting will be secured at 15 minutes past the start of the meeting. Those who arrive after that time will be turned away. Interested parties are advised to arrive early in order to secure parking.

The District intends to award one design-build contract for this project and intends to solicit proposals from three qualified Design-Build Entities. The District will enter into a contract with the Design-Build Entity that can clearly demonstrate the best value to the District.

The College of San Mateo project includes modernization of faculty office Buildings 15 and 17, preparation of office swing space in Building 12, and tenant improvements in Buildings 12 and 34 for other programs after office swing space usage.

The San Mateo County Community College District is an equal opportunity employer.

**Board of Trustees
San Mateo County Community College District
Karen Schwarz, Vice President-Clerk**

**Published: October 30, 2008
 November 5, 2008**

Introduction

The San Mateo County Community College District (District) is seeking to qualify three Design-Build Entities (DBEs) to submit proposals to complete the design and construction of the Building 12/15/17/34 Modernization Project at College of San Mateo. In order to obtain the necessary information from DBEs, the District has prepared this Request for Qualifications Package, which contains:

1. Instructions for completing the qualification application
2. A description of the qualification process
3. An overview of the project
4. The qualification application

1. Instructions for Completing the Qualification Application

All qualification applications shall be made on the attached application furnished by the District. In addition, the applications may be obtained at the following website,
<http://www.smccd.edu/accounts/smccd/departments/facilities/CSMBusOps.shtml>

Requests for clarification of the qualification process or application must be submitted by email to plan@smccd.edu, no later than 12:00 noon on Monday, November 24, 2008.

Applicants shall submit two hard copies of their application and one electronic copy on a CD. Sealed applications shall be submitted, clearly marked as follows:

Qualification Application
College of San Mateo Buildings 12/15/17/34 Project
From: (Design-Build Entity's Name)

Applications must be received by the Construction Planning Department of the San Mateo County Community College District by **Tuesday, December 2, 2008 at 4:00 p.m.**, at the following location: College of San Mateo, Building 27, Room 112, San Mateo, California, 94402.

2. Qualification Process

The Qualification process for this project involves two steps:

Step 1 – Completion of Application: Each application must conform and be responsive to the standard application provided by the District. The completed application must contain information on each of the following members of the DBE’s team:

1. General Contractor
2. Architect(s) of Record
3. Principal Engineer(s)
4. Other consultants
5. Design-build subcontractors

Any team member determined not to be qualified will result in a determination of “not qualified” for the entire DBE. Any deviation from the standard application form, failure to provide all of the required information, untruthful or misleading answers will establish the application as non-responsive and grounds for determination of “not qualified” for the entire DBE. The District reserves the right to reject any or all qualification applications and to waive any irregularities. Qualification applications for past projects or for other public agencies are not applicable. A new qualification application is required to be considered for this advertised project.

Step 2 - Interviews: Completed Applications will be reviewed against a Scoring Matrix which can be found on the District website. The top scoring teams may be invited to interview with the District.

Requirements for Qualification - The District’s evaluation is solely for the purpose of determining which DBEs are deemed responsible and qualified. Qualification of DBEs will be reviewed and determined by the District based upon the submitted qualification applications, interviews with the DBE teams, and any other information available to the District. The District may request that a DBE submit additional information pertinent to the Application. The District also reserves the right to investigate and rely upon information from other available sources in addition to any documents or information submitted by the Design-Build Entity.

The District retains the sole discretion to determine issues of compliance and to determine whether a Design-Build Entity is responsive and responsible. The District will determine a Design-Build Entity’s quality, fitness and capacity to perform this project satisfactorily.

Qualification Criteria:

1. Completion of the questionnaire
2. Professional licensure in good standing with the State of California
3. Bonding and surety availability in accordance with the District’s standard requirements
4. Verified successful experience on comparable projects
5. Ability to perform successfully on a design-build project

General Information

Timeline – Following is the anticipated timeline for the project.

Qualification of Design-Build Teams:

- Qualification application package made available to DBEs: October 29, 2008
- Qualification Conference: November 12, 2008
- Statements of Qualification due: December 2, 2008
- Interviews: week of December 2, 2008
- Qualified design-build teams announced by mid December 2008

Proposal & Contract Phase:

- Issuance of Request for Proposal: mid December 2008
- Proposals due: mid January 2009
- Proposal review period: January 2009
- Contract award: February 25, 2009

Design and Construction Phase:

- Building 12 Office swing space preparation: February through April 2009
- Building 15 Office Building Modernization
 - Design: February through May 2009
 - Construction: May through August 2009
- Building 17 Office Building Modernization
 - Design: February through August 2009
 - Construction: September through December 2009
- Building 12 Tenant Improvement Design and Construction: Feb 2009 through April 2010
- Building 34 Design and Construction: April 2009 through June 2011

Project Labor Agreement - The District will adopt a Program Stabilization Agreement (Labor Agreement) for this project. The awarded DBE will be expected to conform to this Labor Agreement.

Owner Controlled Insurance Program – The District will have in place a mandatory Owner Controlled Insurance Program (OCIP) for this project. The awarded DBE will be expected to conform to the District’s OCIP requirements.

Confidentiality - Responses to the qualification application and questionnaire and any financial information submitted for qualification evaluation are not public records and not open to public inspection. The District will maintain the confidentiality of these records to the extent permitted by law. In the event a third party requests these confidential records, the District will notify the affected DBE, and it shall be the DBE’s responsibility to defend the District in any action to compel disclosure of the DBE’s confidential information.

Cost of Participation in Qualification Process - Costs for participating in this qualification process are entirely the responsibility of the DBEs and shall not be chargeable to the District.

District Contact for Questions - Questions regarding this qualification initiative may be directed to the District’s Construction Planning Department at plan@smccd.edu. As always, public notices, conference presentations, conference attendees, and qualification results will be posted on the website referenced earlier.

3. Overview of the Project

- **Building 12 Office Swing Space** This 22,376 GSF classroom building will be used as office swing space while Buildings 15 and 17 are modernized. Generally, the existing walls will remain; office suites will be constructed with open office (cubicle) type furnishings and equipment, and meeting rooms will be provided to facilitate group and private conference opportunities. The DBE will upgrade and distribute power and data to support this swing space initiative, including construction of a new MDF room, and may construct temporary walls.
- **Building 15 Modernization** This 13,200 GSF office building will be modernized for faculty offices, office suites, student activities and student government offices and an exterior courtyard off the main quad, and the center courtyard will be improved to accept art. The restrooms will be completely renovated. The modernization will also include mechanical, electrical, telecommunications and security upgrades. Budget allowing, circulation spaces will be improved.
- **Building 17 Modernization** This 13,400 GSF office building will be modernized for faculty offices, office suites, and the center courtyard will be improved. The restrooms will be completely renovated. The modernization will also include mechanical, electrical, telecommunications and security upgrades. Budget allowing, circulation spaces will be improved.
- **Building 12 Tenant Improvements** The anticipated scope of work in this 22,376 GSF instructional building is as follows:
 - Permanent new home for Fire Science and Administration of Justice classrooms and offices
 - Temporary computer labs and offices for programs displaced out of Building 27 and other miscellaneous spaces on campus
- **Building 34 Tenant Improvements** This 10,800 GSF Butler building will house the central chiller plant (to be constructed by others under a separate contract). The remaining area will be improved for the following programs:
 - Fire Science apparatus storage
 - Facilities Department storage
- The occupancy of these buildings creates a condition wherein the work must be constructed sequentially. It is paramount that safety and the academic mission be considered when planning for construction. The campus is active year-round, and access to all of the existing buildings and other areas of the campus must be maintained during all phases of construction. The value of the design-build contract for this project is estimated to be \$4.15 Million.

The District is currently preparing a Request for Proposal (RFP), which will be issued to three qualified DBEs. As stipulated in Education Code 81703 (c) (2) (A), professionals who participate in the technical development of the RFP are ineligible to participate on a Design-Build team. On this project, those professionals include:

- Cumming LLC – Cost Management and Estimating

QUALIFICATION APPLICATION

for

DESIGN-BUILD ENTITIES

for

**College of San Mateo
Buildings 12/15/17/34 Modernization Project**

I. INFORMATION ABOUT DESIGN-BUILD ENTITY MEMBERS

Contact Information

Design-Build Entity Name: _____

Design-Build Entity Contact: _____

Design-Build Entity Address: _____

Design-Build Entity Phone: _____ Design-Build Entity FAX: _____

General Building Contractor Name: _____

General Building Contractor Address: _____

Phone: _____ FAX: _____

Architect of Record Name: _____

Architect of Record Address: _____

Phone: _____ FAX: _____

I (A) INFORMATION ABOUT THE GENERAL BUILDING CONTRACTOR

1. Name of General Building Contractor: _____
2. Date of company formation (or incorporation): _____
3. State of formation or incorporation: _____
4. How many persons does the General Contractor currently employ? _____

5(a) If the General Contractor is a corporation, provide the following:

Provide information for each officer of the corporation and owners of 10% or more of the corporate stock.

Position	Name	Years with Co.	% Ownership
CEO			
President			
Secretary			
Treasurer			

5(b) If the General Contractor is a sole proprietorship, please complete the following:

Owner	Years as Owner

- 5(c) If the General Contractor is a joint venture, or a partnership, provide the following information for each member of the joint venture, or for each partner:
(Attach additional pages if necessary)

Name of Individual Or Entity	Principal Contact	Position	Years with Joint Venture/ Partnership	% Ownership Interest

6. Has there been any change in ownership of the General Building Contractor during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

Yes No

If “yes”, explain on a separate page.

7. Is the General Building Contractor a subsidiary, parent, holding company or affiliate of another construction firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.)

Yes No

If “yes”, explain on a separate page.

8. State the General Building Contractor's gross revenues for each of the last three years:

YEAR:		YEAR:		YEAR:	
	\$		\$		\$

9. List all California contractor license numbers, classifications and expiration dates currently held by the General Contractor:

License Number	Trade Classification	Date Issued	Expiration Date

(Attach additional pages if necessary)

10 Has the General Contractor changed names or license numbers in the past five years?

Yes No

If "yes", explain on a separate page.

11 Has any owner, CSLB qualifier or corporate officer of the General Contractor operated as a contractor under any other name or license number (not listed in 9 above) in the last five years?

Yes No

If "yes", explain on a separate page.

12. Surety Information for General Contractor:

Bonding Co./Surety:

Surety Agent:

Agent Address:

Telephone No.:

13. List all other sureties (name and full address) that have written bonds for the General Contractor during the last five years, including periods during which each wrote the bonds:

Surety	Address	Periods of Coverage

14. Provide the following information for key staff members who will be managing the project during design and/or construction of the project:
(Attach additional pages if necessary)

General Contractor	License Number (if applicable)	Years of Experience
Project Manager		
Design Manager		
Superintendent		

15. Attach a copy of the General Contractor's firm profile and resumes of key staff listed.

I (B) INFORMATION ABOUT THE ARCHITECT OF RECORD

Definitions:

“Architect of Record” shall mean the licensed design professional whose stamp will appear on project documents. The Architect of Record must be licensed in the State of California.

1. If the Architect of Record is different from that of the General Contractor, please answer the following:

2. Name of Architect of Record Firm: _____

1(a) Date of company formation or incorporation: _____

1(b) State of formation or incorporation: _____

1(c) How many persons does the Architect of Record’s firm currently employ? _____

1(d) If the Architect of Record’s firm is a corporation, provide the following information for each officer of the corporation and owners of 10% or more of the corporate stock.

Position	Name	Years with Co.	% Ownership
CEO			
President			
Secretary			
Treasurer			

1(e) If the Architect of Record is a sole proprietorship, complete the following:

Owner	Years as Owner

1(f) If the Architect of Record’s firm is a joint venture or partnership, provide the following information for each member of the joint venture or each partner.
(Attach additional pages if necessary)

Name of Individual or Entity	Principal Contact	Position	Years with Joint Venture/ Partnership	% Ownership Interest

1(g) Has there been any change in ownership of the Architect of Record’s firm during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

Yes No

If “yes”, explain on a separate page.

1(h) Is the Architect of Record’s firm a subsidiary, parent, holding company or affiliate of another firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.)

Yes No

If “yes”, explain on a separate page.

1(i) Has any corporate officer or owner of the Architect of Record's firm, worked for any other design firms in the past five years?

(NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.)

Yes No

If “yes”, explain on a separate page.

1(j). Has the Architect of Record’s firm changed names in the past five years?

Yes No

If “yes”, explain on a separate page including reason for change.

2. Provide the following information for key staff of the Architect of Record’s firm who will be designing the project:
(Attach additional pages if necessary)

Architect	License Number	Years in Practice

3. Attach a copy of the Architect of Record’s firm profile and resumes of listed key staff.

I (C) INFORMATION ABOUT PRINCIPAL ENGINEER

Definitions:

- Principal Engineer (also know as Design Professional(s) of Record) shall mean the licensed design professional whose stamp will appear on project documents. Principal Engineer is required to be licensed in the State of California.
- Attach copies of I (C) for each Principal Engineer that the Design-Build Entity seeks to submit for qualification.

If the Principal Engineer’s firm is different from that of the General Contractor, please answer the following:

1. Name of Principal Engineer’s Firm: _____

2. Discipline: _____

2(a) Date of company formation or incorporation: _____

2(b) State of formation or incorporation: _____

2(c) How many persons does the Principal Engineer’s firm currently employ? _____

3. If the Principal Engineer’s firm is a corporation, provide the following information for each officer of the corporation and owners of 10% or more of the corporate stock.

Position	Name	Years with Co.	% Ownership
CEO			
President			
Secretary			
Treasurer:			

4. If the Principal Engineer is a sole proprietorship, complete the following:

Owner	Years as Owner

5 If the Principal Engineer’s firm is a joint venture or partnership, provide the following information for each member of the joint venture or each partner.
(Attach additional pages if necessary)

Name of Individual or Entity	Principal Contact	Position	Years with Joint Venture/ Partnership	% Ownership Interest

6 Has there been any change in ownership of the Principal Engineer’s firm during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

Yes No

If “yes”, explain on a separate page.

7 Is the Principal Engineer’s firm a subsidiary, parent, holding company or affiliate of another firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.)

Yes No

If “yes”, explain on a separate page.

8 Has any corporate officer or owner of the Principal Engineer’s firm, worked for any other design firms in the past five years?

(NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.)

Yes No

If “yes”, explain on a separate page.

9 Has the Principal Engineer’s firm changed names in the past five years?

Yes No

If “yes”, explain on a separate page including reason for change.

10. Provide the following information for key staff of the Principal Engineer’s firm who will be designing the project:
(Attach additional pages if necessary)

Principal Engineer	License Number	Years in Practice

11 Attach a copy of the Principal Engineer’s firm profile and resumes of key staff listed.

I (D) INFORMATION ABOUT ELECTRICAL, MECHANICAL, and other DESIGN-BUILD SUBCONTRACTOR(S)

Attach copies of I (D) for each Electrical and Mechanical subcontractor and other subcontractors that will be performing Design-Build work. Qualification information shall be submitted for each electrical and mechanical subcontractor and other Design-Build subcontractors.

1. Name of Subcontractor: _____
2. Date of company formation or incorporation: _____
3. State of formation or incorporation: _____
4. How many persons does the Subcontractor currently employ? _____

5(a) If the Subcontractor is a corporation, provide the following information for each officer of the corporation and individuals who own 10% or more of the corporate stock.

Position	Name	Years with Co.	% Ownership
CEO			
President			
Secretary			
Treasurer:			

5(b) If the Subcontractor is a sole proprietorship, complete the following:

Owner	Years as Owner

5(c) If the Subcontractor is a joint venture or partnership, provide the following information for each member of the joint venture or each partner:

Name of Individual or Entity	Principal Contact	Position	Years with Joint Venture/ Partnership	% Ownership Interest

6. Has there been any change in ownership of the Subcontractor during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

Yes No

If “yes”, explain on a separate page.

7. Is the Subcontractor a subsidiary, parent, holding company or affiliate of another construction firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.)

Yes No

If “yes”, explain on a separate page.

8. State the Subcontractor's gross revenues for each of the last three years:

YEAR:		YEAR:		YEAR:	
	\$		\$		\$

9. List all California contractor license numbers, classifications and expiration dates currently held by the Subcontractor:

License Number	Classification	Date Issued	Expiration Date

10. Has the Subcontractor changed names or license numbers in the past five years?

Yes No

If "yes", explain: on a separate page.

11. Has any owner, CSLB qualifier or corporate officer of the Subcontractor operated as a contractor under any other name or license number (not listed in 9 above) in the last five years?

Yes No

If "yes", explain on a separate page.

12. Surety Information for Subcontractor:

Bonding Co./Surety: _____

Surety Agent: _____

Agent Address: _____

Telephone No.: _____

13. List all other sureties (name and full address) that have written bonds for your firm during the last five years, including the periods during which each wrote the bonds:

Surety	Address	Periods of Coverage

II ESSENTIAL REQUIREMENTS FOR THE DESIGN-BUILD ENTITY

Definitions:

The term “Associates” shall mean any and all of the following:

- The current qualifiers for all current Contractors State License Board contracting licenses held by the contractor.
- All current officers of a contractor, which is a corporation.
- All current partners of a contractor, which is a partnership.
- All current joint ventures of the joint venture contractor, which is seeking qualification.

Under the Owner Controlled Insurance Program (OCIP), the District will provide the following insurance:

- Workers’ Compensation and Employer’s Liability
- General Liability Insurance for Personal Injury, Bodily Injury and Property Damage Liability
- Builder’s Risk
- Contractor’s Pollution Liability

The OCIP does not cover Professional Liability Insurance for Architects and Principal Engineers and does not cover Business Automobile Liability Insurance. The DBE is required to provide these coverages as outlined in this section.

1. Does the General Building Contractor and each proposed Subcontractor possess a current California contractor’s license for the project for which it intends to submit a proposal?

Yes No

1. Does the General Contractor have a liability insurance policy with a policy limit as follows from a California admitted company with an A.M Best rating of A or better and a Financial Condition rating of IX or better?

Business Automobile Liability Insurance - Limits of Liability
Each Accident - Combined Single Limit for Bodily Injury and
Property Damage
\$2,000,000

Yes No

If yes, provide the following information. (Attach a separate page if more than one policy.)

Insurance Company

Policy Number

Policy limit per occurrence

Aggregate policy limit

3. Does the General Contractor and each proposed Subcontractor have current workers' compensation insurance policies as required by the Labor Code or are legally self-insured pursuant to Labor Code sections 3700 et. seq.?

Yes No

If yes, provide the following information. (Attach a separate page if more than one policy)

Insured

Carrier

Policy Number

4. Has the latest copy of an audited financial statement (no more than two years old) with accompanying notes been attached for the General Contractor? (An audited financial statement with accompanying notes of a parent company guarantor may be substituted. A financial statement that is not audited is not acceptable. A letter verifying availability of a line of credit is not a substitute for the required financial statement.)

Yes No

5. Has a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California been attached, which states that the General Contractor's current bonding capacity is sufficient for the project for which you seek qualification? (Statement must be from the surety company, not an agent or broker.)
- Yes No
6. Has any contractor license held by the General Contractor or its associates or any of the proposed Subcontractors or their associates, been revoked or suspended within the last five (5) years?
- Yes No
7. Has a surety firm completed a contract or paid for completion of a contract on behalf of any member of the Design-Build Entity because they were terminated by the project owner within the last five (5) years?
- Yes No
8. Within the last five years was the Design-Build Entity, General Contractor or any proposed Subcontractor declared ineligible to bid on a public works contract, to be awarded a public works contract, or to perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?
- Yes No
9. Has any member of the Design-Build Entity (contractors, architects, engineers or others) or any member's associates, any of the corporate officers, partners, ever been convicted of a crime involving the awarding of a contract for a government project, or the bidding or performance of a government contract within the last five (5) years?
- Yes No
10. Do all of the design professionals who are expected to work on the project possess current California professional licenses for the services, which they intend to provide?
- Yes No

11. Are the Design Professional(s) of Record covered by a professional liability insurance policy with a policy limit of at least \$1,000,000 per occurrence and \$2,000,000 aggregate from a California admitted company that provides coverage for work on a design-build contract (answer for each individual design professional of record that is a member of the Design-Build Entity's Team)?

Yes No

If yes, provide the name of the insurance company, policy number, and policy limits.

Insurance Company

Policy Number

Policy limit per occurrence

Aggregate policy limit

12. Has any professional license held by any design professional that will provide services been revoked at any time in the last five years?

Yes No

13. Does the General Contractor and each proposed Subcontractor seeking qualification, know and understand their obligations regarding the employment of apprentices on public works under Labor Code section 1777.5 and California Code of Regulations, Title 8, section 230.1, and do they intend to comply with these requirements, including the requirement, if applicable, to request the dispatch of apprentices from an apprenticeship program approved by the California Apprenticeship Council?

Yes No

III. SCORED QUESTIONS

III (A) SCORED QUESTIONS FOR THE GENERAL CONTRACTOR

Definitions:

The term “Associates” shall mean any of the following:

- The current qualifiers for all current Contractors State License Board contracting licenses held by the contractor.
- All current officers of a contractor, which is a corporation.
- All current partners of a contractor, which is a partnership.
- All current joint ventures of the joint venture contractor, which is seeking qualification.

A-1 How many years has the General Building Contractor been licensed in California?

Years: _____

A-2. Is the General Building Contractor or its associates currently the debtor in a bankruptcy case?

Yes No

If “yes”, indicate the case number, bankruptcy court, and the date on which the petition was filed.

Case Number	Bankruptcy Court	Date Filed
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A-3. Was the General Building Contractor or its associates in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question A-2, above)

Yes No

If “yes”, indicate the case number, bankruptcy court, and the date on which the petition was filed.

Case Number	Bankruptcy Court	Date Filed
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A-4. At any time in the last five years has the General Building Contractor or its associates been assessed liquidated damages of more than \$50,000 on a construction contract with either a public or private owner?

Yes No

If yes, explain on a separate page, identifying all such projects by owner, owner's address, name of entity against whom assessment was made, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

A-5. Has the General Building Contractor or its associates ever defaulted on a construction contract?

Yes No

If "yes", explain on a separate page.

A-6. In the last five years has the General Building Contractor or its associates, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

Yes No

If "yes", explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

A-7. Has the General Building Contractor or its associates ever been denied an award of a public works contract based on a finding by a public agency that they were not a responsible bidder?

Yes No

If "yes", on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

(NOTE: The following two questions refer only to disputes between contractors and owners of projects. You need not include information about disputes with suppliers, other contractors, or subcontractors. You need not include information about "pass-through" disputes in which the actual dispute is between a subcontractor and a project owner.)

A-8. In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the General Building Contractor or its associates concerning their work on a construction project?

Yes No

If “yes”, on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, the name of the entity the claim was filed against, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

A-9. In the past five years has the General Building Contractor or its associates made any claim in excess of \$50,000 against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration?

Yes No

If “yes”, on a separate page identify the claim by providing the name of claimant, the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

A-10. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the General Building Contractor or its associates due to non-payment or contractor losses?

Yes No

If “yes”, on a separate page give name of the insured; name the insurance carrier, the form of insurance, and the year of the refusal.

A-11. Has the General Building Contractor or its associates ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public entity?

Yes No

If “yes”, explain on a separate page, including identifying who was found liable or guilty, the court and case number, the name of the public entity, the civil or criminal verdict, the date and the basis for the finding.

A-12. Has the General Building Contractor, any of the corporate officers, partners, or its associates ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No

If “yes”, explain on a separate page, including identifying who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

A-13. Has the General Building Contractor, any of the corporate officers, partners or its associates ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes No

If “yes”, identify on a separate page, the person or persons convicted, the court and case number, the crimes, and the year convicted.

A-14. During the last five years, has there ever been a period of time when the General Building Contractor or its associates had no surety bond in place during a public construction project when one was required?

Yes No

If yes, indicate the period during which no surety bonds were in place, name of entity without the surety bond, the name of project owner, and if coverage was denied the date coverage was denied and the name of the company that denied coverage.

A-15. Has CAL OSHA cited and assessed penalties against the General Building Contractor or its associates for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five years?

(NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.)

Yes No

If “yes”, on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any OSHA decision.

A-16. Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the General Building Contractor or its associates in the past five years?

(NOTE: If an appeal of the citation has been filed and the Appeals Board has not yet ruled, or if there is a court appeal pending, you need not include information about the citation.)

Yes

No

If “yes”, on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

A-17. Has the EPA, any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the General Contractor or its associates or the owner of a project during the time in which the preceding parties were performing on a contract in the past five years?

(NOTE: If an appeal of the citation has been filed and there is no ruling yet, or if there is a court appeal pending, you need not include information about the citation.)

Yes

No

If “yes”, on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

A-18. How often does the General Building Contractor require documented safety course meetings to be held for construction employees and field supervisors during the of a project?

A-19. List the General Building Contractor's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:

(NOTE: An Experience Modification Rate is issued to your firm annually by your workers' compensation insurance carrier.)

Year: _____ EMR: _____

Year: _____ EMR: _____

Year: _____ EMR: _____

If your EMR for any of these three years is 1.00 or higher you may attach a letter of explanation.

A-20. Within the last five years has there ever been a period when the General Contractor or its associates had employees but was without workers' compensation insurance or state-approved self-insurance?

Yes

No

If "yes", please explain the reason for the absence of workers' compensation insurance on a separate page. If "No," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the General Building Contractor for the last five years. (If the General Contractor has been in business for less than five years, provide a statement by your workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that your firm has been in business.)

A-21. Has there been more than one occasion during the last five years in which the General Building Contractor or its associates was required to pay either back wages or penalties for failure to comply with the **state's** prevailing wage laws?

Yes

No

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed, the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

A-22. During the last five years, has there been more than one occasion in which the General Building Contractor or its associates have been penalized or required to pay back wages for failure to comply with the **Federal** Davis-Bacon prevailing wage requirements?

Yes No

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

A-23. If the General Building Contractor operates its own State-approved apprenticeship program, provide the following information on a separate page:

- (a) Identify the craft or crafts in which you provided apprenticeship training in the past year.
- (b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
- (c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

A-24. At any time during the last five years, has the General Building Contractor or its associates been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

Yes No

If "yes", provide the date of the findings and attach a copy of the final decision.

Date of Findings

A-25. Do agreements exist between the General Building Contractor and registered apprenticeship programs which have been approved by the California Apprenticeship Council and have graduated apprentices in the preceding five years, for all apprenticable crafts which may be employed by the General Contractor on this project?

(This graduation requirement shall not apply to programs providing apprenticeship training for any craft that has not been deemed by the Department of Labor and the Department of Industrial Relations to be an apprenticable craft within the period of June, 1999 to June, 2003.)

Yes No

A-26. If seeking to pre-qualify to compete for this advertised project, has the General Building Contractor built facilities for higher education institutions in the last 5 years?

Yes No

Please complete a Project Data Sheet for a minimum of three comparable projects completed within the last five years.

A-27. Please fill in the following blanks based on the General Building Contractor's attached financial statement:

Current Assets	\$0
Current Liabilities:	\$0
Total Net Worth	\$0
Current Ratio (Assets/Liabilities):	
Working Capital (Current Assets - Current Liabilities):	\$0

Submit the latest audited financial statement for the General Contractor's previous fiscal year.

A-28. Please describe how the DBE Team will creatively collaborate to help the District to insure that local, small, and emerging businesses are given every opportunity to compete fairly for the District's work.

A-29. Please describe how DBE Team will creatively collaborate to help the District to maximize the number of local workers employed on these projects.

A-30. Please describe how DBE Team will creatively collaborate to help the District to hire apprentices who have graduated from the San Mateo County Community College District's Pre-Apprenticeship Academic Program.

A-31. Please describe how DBE Team will creatively collaborate to help the District to reduce the operational costs of the new facilities.

A-32. Please describe how DBE Team will creatively collaborate to help the District to incorporate sustainability into the new facilities.

- A-33. Please describe the Design-Build team members experience in working on previous projects, in particular on design-build projects.
- A-34. Please describe the Design-Build team's experience on working on Division of State Architect projects, in particular on design-build projects.

III (B) SCORED QUESTIONS FOR THE ARCHITECT OF RECORD

Definitions:

- “Architect of Record” shall mean the licensed design professional whose stamp will appear on project documents. The Architect of Record is required to be licensed in the State of California.

1. Name of Architect of Record: _____

2. Name of Firm: _____

B-1. How many years has the Architect of Record been licensed and practicing in California?

Years: _____

B-2. Is the Architect of Record’s firm currently the debtor in a bankruptcy case?

Yes No

If “yes”, indicate the case number, bankruptcy court, and the date on which the petition was filed.

Case Number	Bankruptcy Court	Date Filed
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B-3. Was the firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question B-2, above)

Yes No

Case Number	Bankruptcy Court	Date Filed
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B-4. In the last five years has the Architect of Record or the firm, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

Yes No

If “yes”, explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

B-5. Has the Architect of Record or the firm ever been denied an award of a public works contract based on a finding by a public agency that they were not a responsible bidder?

Yes No

If “yes”, on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

B-6. In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the Architect of Record or the firm concerning its design work on a project?

Yes No

If “yes”, on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

B-7. In the past five years has the Architect of Record or the firm, made any claim in excess of \$50,000 against a project owner concerning its architectural work on a project and filed that claim in court or arbitration?

Yes No

If “yes”, on separate page identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

B-8. In the last five years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Architect of Record or the firm, based on non-payment or losses?

Yes No

If “yes”, on separate page give name the insurance carrier, the form of insurance and the year of the refusal.

- B-9. Has the Architect of Record or the firm ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Yes No

If “yes”, on a separate page identify who was involved, the name of the public agency, the date of the investigation and the grounds for the finding.

- B-10. Has the Architect of Record, any of the corporate officers, partners, or the firm ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No

If “yes”, on a separate page identify who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

- B-11. Has the Architect of Record, any of the corporate officers, partners, or the firm ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes No

If “yes”, on a separate page identify the person or persons convicted, the court and case number, the crimes and the year convicted.

B-12. Has the Architect of Record designed facilities for higher education institutions over the last five (5) years?

Yes

No

Please complete a Project Data Sheet for a minimum of three comparable projects completed within the last five years.

B-13. Has the Department of Consumer Affairs taken any disciplinary action against the Architect of Record?

Yes

No

If yes, please explain on a separate page.

III (C) SCORED QUESTIONS FOR THE PRINCIPAL ENGINEER

Definitions:

- Principal Engineer shall mean the licensed design professional whose stamp will appear on project documents. Principal Engineers are required to be licensed in the State of California.
- Attach copies of III (C) for each Principal Engineer that the Design-Build Entity seeks to submit for qualification.

Name of Principal Engineer: _____

Name of Firm: _____

C-1. How many years has the Principal Engineer been licensed and practicing in California?

Years: _____

C-2. Is the firm currently the debtor in a bankruptcy case?

Yes No

If “yes”, indicate the case number, bankruptcy court, and the date on which the petition was filed.

C-3. Was the firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question B-2, above)

Yes No

If “yes”, indicate the case number, bankruptcy court, and the date on which the petition was filed.

C-4. In the last five years has the Principal Engineer or the firm, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

Yes No

If “yes”, explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

C-5. Has the Principal Engineer or the firm ever been denied an award of a public works contract based on a finding by a public agency that they were not a responsible bidder?

Yes No

If “yes”, on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

C-6. In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the Principal Engineer or the firm concerning its design work on a project?

Yes No

If “yes”, on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

C-7. In the past five years has the Principal Engineer or the firm, made any claim in excess of \$50,000 against a project owner concerning its architectural work on a project and filed that claim in court or arbitration?

Yes No

If “yes”, on separate page identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

C-8. In the last five years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Principal Engineer or the firm, based on non-payment or losses?

Yes No

If “yes”, on separate page give name the insurance carrier, the form of insurance and the year of the refusal.

C-9. Has the Principal Engineer or the firm ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Yes No

If “yes”, on a separate page identify who was involved, the name of the public agency, the date of the investigation and the grounds for the finding.

C-10. Has the Principal Engineer any of the corporate officers, partners, or the firm ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No

If “yes”, on a separate page identify who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

C-11. Has the Principal Engineer any of the corporate officers, partners, or the firm ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes No

If “yes”, on a separate page identify the person or persons convicted, the court and case number, the crimes and the year convicted.

C-12. Has the Principal Engineer designed facilities for institutions of higher education in the last 5 years?

Yes

No

Please complete a Project Data Sheet for a minimum of three comparable projects completed within the last five years.

C-13. Has the Department of Consumer Affairs taken any disciplinary action against the Principal Engineer?

Yes

No

If yes, please explain on a separate page.

**III (D) SCORED QUESTIONS FOR THE ELECTRICAL, MECHANICAL,
and other DESIGN-BUILD SUBCONTRACTOR(S)**

Attach copies of III (D) for each Electrical, Mechanical, and other Design-Build Subcontractor(s) to be considered for eligibility to bid as a Subcontractor in the Request for Proposals.

Definitions:

The term “Associates” shall mean any of the following:

- The current qualifiers for all current Contractors State License Board contracting licenses held by the contractor.
- All current officers of a contractor, which is a corporation.
- All current partners of a contractor, which is a partnership.
- All current joint ventures of the joint venture contractor, which is seeking qualification.

Subcontractor Name:

Discipline/Trade/Craft:

D-1. How many years has the Subcontractor been licensed in California?

Years: _____

D-2. Is the Subcontractor or its associates currently the debtor in a bankruptcy case?

Yes

No

If “yes”, indicate the case number, bankruptcy court, and the date on which the petition was filed.

Case Number

Bankruptcy Court

Date Filed

D-3. Was the Subcontractor or its associates in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question D-2, above)

Yes No

If “yes”, indicate the case number, bankruptcy court, and the date on which the petition was filed.

Case Number	Bankruptcy Court	Date Filed
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D-4. At any time in the last five years has the Subcontractor or its associates been assessed liquidated damages of more than \$50,000 on a construction contract with either a public or private owner?

Yes No

If yes, explain on a separate page, identifying all such projects by owner, owner’s address, name of entity against whom assessment was made, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

D-5. In the past five years has the Subcontractor or its associates ever defaulted on a contraction contract?

Yes No

If “yes”, explain on a separate page.

D-6. In the last five years has the Subcontractor or its associates been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

Yes No

If “yes”, explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

D-7. Has the Subcontractor or its associates ever been denied an award of a public works contract based on a finding by a public agency that they were not a responsible bidder?

Yes No

If “yes”, on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

(NOTE: The following two questions refer only to disputes between contractors and owners of projects. You need not include information about disputes with suppliers or other contractors.

D-8. In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the Subcontractor or its associates concerning their work on a construction project?

Yes No

If “yes”, on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, the name of the entity the claim was filed against, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

D-9. In the past five years has the Subcontractor or its associates made any claim in excess of \$50,000 against a project owner or a General Contractor concerning work on a project or payment for a contract and filed that claim in court or arbitration?

Yes No

If “yes”, on a separate page identify the claim by providing the name of claimant, the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

D-10. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Subcontractor or its associates due to non-payment or contractor losses?

Yes No

If “yes”, on a separate page give name of the insured, name the insurance carrier, the form of insurance, and the year of the refusal.

D-11. Has the Subcontractor or its associates ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public entity?

Yes No

If “yes”, explain on a separate page, including identifying who was found liable or guilty, the court and case number, the name of the public entity, the civil or criminal verdict, the date and the basis for the finding.

D-12. Has the Subcontractor, any of the corporate officers, partners, or its associates ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No

If “yes”, explain on a separate page, including identifying who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

D-13. Has the Subcontractor any of the corporate officers, partners, or its associates ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes No

If “yes”, identify on a separate page the person or persons convicted, the court and case number, the crimes, and the year convicted.

D-14. During the last five years, has there ever been a period of time when the Subcontractor or its associates had no surety bond in place during a public construction project when one was required?

Yes No

If yes, indicate the period during which no surety bonds were in place, name of entity without surety bond, the name of project owner, and if coverage was denied the date coverage was denied and the name of the company that denied coverage.

D-15. Has CAL OSHA cited and assessed penalties against the Subcontractor or its associates for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five years?

(NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.)

Yes No

If “yes”, on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any OSHA decision.

D-16. Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the Subcontractor or its associates in the past five years?

(NOTE: If an appeal of the citation has been filed and the Appeals Board has not yet ruled, or if there is a court appeal pending, you need not include information about the citation.)

Yes No

If “yes”, on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

D-17. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the Subcontractor, its associates, or the owner of a project during the time in which the preceding parties were performing on a contract in the past five years?

(NOTE: If an appeal of the citation has been filed and there is no ruling yet, or if there is a court appeal pending, you need not include information about the citation.)

Yes No

If “yes”, on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

D-18. How often does the Subcontractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

D-19. List the Subcontractor’s Experience Modification Rate (EMR) (California workers’ compensation insurance) for each of the past three premium years:

(NOTE: An Experience Modification Rate is issued to your firm annually by your workers’ compensation insurance carrier.)

Year: _____ EMR: _____

Year: _____ EMR: _____

Year: _____ EMR: _____

If your EMR for any of these three years is or was 1.00 or higher you may attach a letter of explanation.

D-20. Within the last five years has there ever been a period when the Subcontractor or its associates had employees but was without workers' compensation insurance or state-approved self-insurance?

Yes

No

If "yes", please explain the reason for the absence of workers' compensation insurance on a separate page. If "No," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the Electrical Subcontractor for the last five years. (If the Subcontractor has been in business for less than five years, provide a statement by your workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that your firm has been in business.)

D-21. Has there been more than one occasion during the last five years in which the Subcontractor or its associates was required to pay either back wages or penalties for failure to comply with the **State's** prevailing wage laws?

Yes

No

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

D-22. During the last five years, has there been more than one occasion in which the Subcontractor or its associates have been penalized or required to pay back wages for failure to comply with the **Federal** Davis-Bacon prevailing wage requirements?

Yes

No

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

D-23. If the Subcontractor operates its own State-approved apprenticeship program, provide the following information on a separate page:

- (a) Identify the craft or crafts in which you provided apprenticeship training in the past year.
- (b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
- (c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

D-24. At any time during the last five years, has the Subcontractor or its associates been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

Yes

No

Date of Findings

D-25. Do agreements exist between the Subcontractor and registered apprenticeship programs which have been approved by the California Apprenticeship Council and have graduated apprentices in the preceding five years, for all apprenticable crafts which may be employed by the Subcontractor on this project?

(This graduation requirement shall not apply to programs providing apprenticeship training for any craft that has not been deemed by the Department of Labor and the Department of Industrial Relations to be an apprenticable craft within the period of June, 1999 to June, 2003.)

Yes

No

D-26. Has the Subcontractor performed on a contract to construct facilities for higher education institutions within the last 5 years?

Yes

No

Please complete a Project Data Sheet for a minimum of three comparable projects completed within the last five years.

IV. RECENT CONSTRUCTION PROJECTS COMPLETED

1. How many comparable projects have the Design-Build Entity, General Contractor, Architect of Record, Principal Engineer(s), Electrical, Mechanical, and other Design-Build subcontractors involved in this Design-Build Entity ever worked together on?

2. How many comparable design-build projects have the Design-Build Entity, General Contractor, Architect of Record, Principal Engineer(s), Electrical, Mechanical, and other Design-Build subcontractors involved in this Design-Build Entity ever worked together on?

3. List volume of construction and value of largest design-build project, which the Design-Build Entity, General Contractor, Architect of Record, Principal Engineer(s), Electrical, Mechanical, and other Design-Build subcontractors have worked together on.

Volume _____ Largest Contract _____

2. Design-Build Entity’s three comparable design-build projects within the last five years. The design-build projects may be public or private and located anywhere in the United States. Names and references must be current and verifiable. (Copy additional sheets)

PROJECT DATA SHEET

Relevant experience

(A separate sheet must be prepared for each project submitted.)

- 1. Project Name: _____
- 2. Project Location _____
- 3. Project Description: _____
- 4. Construction Type: _____
- 5. Size (gross sq. ft _____
- 6. Business name of entity, which constructed and managed this project: _____
- 7. How is this project comparable to the project listed? _____

- 8. Was the project completed within budget? _____
 - Cost At Bid \$ _____
 - Cost At Completion: \$ _____
 - Explanation: _____

- 9. For any differing amount between cost at completion and cost at bid, distribute the sources and/or causes of the changes into the following categories:
 - Document Problems: \$ _____
 - Unforeseen Conditions: \$ _____
 - Owner Generated Scope: \$ _____
 - Regulatory Agency: \$ _____
 - Other: \$ _____
 - Explain Other: _____

10. Was construction of the project begun and completed within the last five (5) years?

YES

NO

11. Was the project completed within the original contract time or the adjusted contract time?

YES

NO

Contract Time at bid date (Number of calendar days): _____

Formally adjusted Contract Time (Number of calendar days; if not adjusted, state "No Adjustments"): _____

Actual Elapsed Time between issuance of Notice To Proceed and date of final completion (Number of calendar days): _____

If completion did not occur within the Contract Time at bid date or within the formally Adjusted Contract Time, then explain the reason or reasons for the delay:

For projects, which have not reached final completion indicate current status with respect to contract time: _____

12. Did the project include occupied facilities adjacent to the project?

YES

NO

13. What communications strategies were used by your firm to assist the project team in mitigating the impacts of construction on the adjacent occupied facilities?

14. Did the project include restricted site access?

YES

NO

What measures were taken to mitigate the restrictive conditions?

15. What strategic decisions did your firm contribute to the project which supported the project's success (e.g., value engineering, phasing, innovation, new technology, etc.)?

16. Did the project include adherence to critical path scheduling?

YES

NO

17. What strategies did your firm use to adjust and/or correct for non-Owner generated slippage in the critical path schedule

18. Did the project include finishes and work elements requiring craftsmanship and workmanship?

YES

NO

19. How did your firm manage the quality of workmanship on the job?

20. Did the project include California Division of State Architect Compliance review and approval?

YES NO

21. Did the Owner assess any back-charges?

YES NO

If answer is yes, explain:

22. Did the Owner assess any liquidated damages?

YES NO

If answer is yes, explain:

23. Describe the firm's claim-avoidance strategy and/or philosophy

24. Name of Project Manager:

25. Name of Project Superintendent:

26. Did your firm self-perform any of the work?

YES

NO

If yes, please specify the trades you self-performed:

28. Project's Owner Information:

Name:

Contact:

Title:

Address:

Phone:

FAX:

29. E-mail Address

Architect/Engineer/
Consultants:

Architect/Engineer
Contact Name:

Phone:

E-mail Address:

**V. DECLARATION
Under Penalty of Perjury**

I, the undersigned, _____, certify and declare that I have read all the foregoing answers to this Qualification Application; that all responses are correct and complete of my own knowledge and belief. I declare under penalty of perjury under the laws of the State of California, that the foregoing is true and correct.

(Signature of Individual that may legally bind the
Design-Build Entity)

(Printed name)

(Place of Execution)

(Date)

(Design-Build Entity)