SAN MATEO COUNTY COMMUNITY COLLEGE DISTRICT PREQUALIFICATION FOR A, B AND C LICENSED CONTRACTORS

NOTICE IS HEREBY GIVEN that the San Mateo County Community College District (the District) of San Mateo CA., acting through its Governing Board, hereinafter the District, is seeking to prequalify A, B and C licensed contractors who wish to compete for the award of various construction contracts. The District anticipates to bid and award construction contracts utilizing traditional lump sum/low bid and through Construction Management – Multiple Prime Delivery. Subcontractors are strongly encouraged to participate in this pre-qualification effort. Over the next twelve months, the District anticipates that the following projects may be bid, including, but no limited to the following projects:

Cañada College

Facilities Maintenance Center, New Construction Building 16, Modernization Building 18, Modernization Bookstore Renovation Utilities and Infrastructure Improvements

Skyline College

Facilities Maintenance Center, New Construction Building 2, Phase 3 Improvements Building 3, Phase 2 Improvements Utilities and Infrastructure Improvements

College of San Mateo

Building 14 Modernization Building 16 Modernization Utilities and Infrastructure Improvements

Projects will be located at the District's three campuses: College of San Mateo, Canada College, and Skyline College. The contractors that prequalify through this process will be eligible to bid on District projects for approximately 12 months from the completion of this prequalification process, approximately October 2006 – October 2007.

Prequalification submittals must be marked clearly on the envelope with your firm name and "Prequalification for A, B and C Licensed Contractors," and filed with Swinerton Management & Consulting, the District's Capital Improvement Program Manager, at College of San Mateo, 1700 W Hillsdale Blvd., Building 6, San Mateo, California, 94402 no later than **2:00 p.m., Thursday, September 14, 2006.**

Procurement of prequalification documents: Prequalification submittals will be received only from A, B and C Licensed Contractors who have completed the District's prequalification questionnaire, available at http://www.smccd.edu/accounts/facilities/planconstruct/DW NTC.html or by contacting Gina Maldonado of Swinerton Management & Consulting at 650-378-7338.

Mandatory Prequalification Conference: A prequalification conference is scheduled for **Thursday**, **August 24**, **2006**, promptly at 2:00 p.m. This meeting is mandatory. Interested parties are to meet at the San Mateo County Community College District Office Board Room located upstairs at 3401 CSM Drive, San Mateo, CA 94402. Interested parties are advised to arrive early and park in lot 16. On-time attendance is encouraged.

Requirements for Prequalification: The District's evaluation is solely for the purpose of determining which contractors are deemed responsible and qualified. Prequalification of bidders will be reviewed and determined by the District based upon the submitted Prequalification application and any other information available to the District. The District may request a contractor to submit additional

information pertinent to the Application. The District also reserves the right to investigate other available sources in addition to any documents or information submitted by the Contractor.

Optional Prequalification Application Assistance Clinics: The District will be conducting clinics to assist potential contractors and subcontractors in completing this pre-qualfication application. Attendance is optional. Clinics will be held at the College of San Mateo, 1700 W. Hillsdale Blvd., Building 6, San Mateo, CA 94402. The clinics will be held in the main conference room for Swinerton Management & Consulting in August 29 at 10:00 and again in August 31 at 10:00.

The District retains the sole discretion to determine issues of compliance and to determine whether a bidder is responsive and responsible. The District will determine a contractor's quality, fitness and capacity to perform projects satisfactorily. The District's decision on Prequalification will be based on the evaluation of several factors including but not limited to the following:

- 1. Construction Experience on Comparable Projects
- 2. Contractor's License
- 3. Work History
- 4. Litigation and Arbitration History
- 5. Disqualification from Previous Projects
- 6. Compliance with Statutory Requirements and Safety Record
- 7. Compliance with Prevailing Wage Requirements
- 8. Insurance Requirements
- 9. Bonding Information
- 10. Financial Information

In addition to being disqualified for failure to meet the District's criteria, a contractor may be automatically disqualified for any one of the following:

- 1. Omission of requested information
- 2. Falsification of information
- 3. Lack of required valid contractor's license
- 4. Lack of bondability
- 5. Lack of insurability
- 6. Lack of Declaration under penalty of perjury of Application by a duly authorized officer of the firm.
- 7. Lack of experience in constructing comparable projects

Prequalified Project Budget Amount: The District will determine the value of the District projects that a contractor can bid on based on the Contractor/Firm's bondability.

Contract Requirements: Contractors are advised that the contractor qualified and selected for a specific project as the lowest responsible prequalified bidder shall be subject to and must fully comply with all of the requirements of the bidding documents including but not limited to the provision of a Payment Bond and a Performance Bond. The bonds shall be in the form of surety bonds issued by a corporation licensed in the State of California and satisfactory to both the District and its agents. Sureties must be California State registered Class "A" Securities. In accordance with provisions of Public Contract Code Section 22300, substitution of eligible and equivalent securities for any monies withheld to ensure performance under this contract will be permitted at the request and expense of the Contractor.

Prevailing Wage Requirements: Contractors are further informed that they will be subject to and must comply with all of the requirements under the California Labor Code to pay the general prevailing rate of per diem wages and for holiday and overtime work to all workers employed by the contractor. Copies of prevailing rates of per diem wages are available from the Department of Industrial Relations, State of California.

Labor Compliance Program: These projects may be required to conform to a Labor Compliance Program in accordance with the requirements of the Department of Industrial Relations, State of California.

Final Determination: Contractors will be advised of their pre-qualification status within approximately 30 days after receipt of pre-qualification submittals. If a contractor disagrees with the determination regarding their pre-qualification status, a written request for a hearing is required within 7 days from the receipt of the notice. Written requests are to be submitted to José Nuñez, Executive Director, Facilities, Planning and Operations, San Mateo County Community College District, 3401 CSM Drive, San Mateo, CA 94402. The decision made by the Executive Director is final and may not be appealed any further.

Confidentiality: Responses to the Prequalification application and questionnaire and any financial information submitted for Prequalification evaluation are not public records and not open to public inspection. The District will maintain the confidentiality of these records to the extent permitted by law. In the event a third party requests these confidential records, the District will notify the affected contractor, and it shall be the contractor's responsibility to defend the District in any action to compel disclosure of the contractor's confidential information.

The San Mateo County Community College District is an equal opportunity employer.

Helen Hausman, Vice President-Clerk Board of Trustees San Mateo County Community College District

Published: August 10, 2006

August 17, 2006

REQUIRED CONTENTS OF STATEMENT OF QUALIFICATION (SOQ) SUBMISSION

- A. <u>Transmittal Letter.</u> The Transmittal Letter, page one of the submittal, shall name the contractor, its legal structure (i.e., wholly owned subsidiary, corporation, partnership, limited partnership, joint venture). If a joint venture or partnership is proposed, Bidder shall identify partner and/or member of the joint venture and their roles and responsibilities.
- B. <u>Table of Contents</u>. Create and insert a table of contents, after the transmittal letter, listing all of the documents included in your submittal.
- C. <u>Completed Questionnaire</u>. Bidder shall include a completed (Statement of Qualification Questionnaire) in the form attached to this Document 00450 as Attachment "A". Bidder shall make sure its answers to the Questionnaire describe itself, Key Personnel proposed and the public works history of each. Add supplementary information if necessary, if you believe that such information would benefit the Bidder in receiving a positive result of this Per-Qualification process. Questionnaire includes the following:
 - a. <u>Financial Capacity</u>. Include audited or reviewed financial statements for the three most recently completed fiscal years for Bidder and each member of any proposed consorting or joint venture. Also include audited or reviewed financial statements for the three most recently completed fiscal years for any parent company(s) of Bidder. If Bidder is a subsidiary, provide parent company published information with regards to the financial responsibility of the parent company to the Bidder.
 - b. Capability to Provide Required Performance and Payment Bonds. Bidder shall include a letter from a surety duly licensed to do business in the State of California, having a financial rating from A.M. Best Company of A IX or better that the surety has agreed to provide Bidder with the required performance and payment bonds in accordance with the requirements set forth in Documents 00610 (Construction Performance Bond) and 00620 (Construction Labor and Material Payment Bond). Such performance and payment bonds shall be in the minimum penal sums provided therein. [Documents 00610 & 00620 are provided on the web with this posting.]
 - c. <u>Capability to Provide the Required Insurance</u>. Bidder shall provide a letter from an insurance company/insurance underwriter confirming that the insurer will provide Bidder the minimum coverage(s) and amount(s) included herein:
 - i. Comprehensive General Liability, no less than that of a standard Commercial General Liability Insurance policy ("Occurrence Form"). Such insurance shall provide for all operations and include independent contractors, products liability and completed operations for one year after Final Completion of last phase to be completed and acceptance of the final payment.
 - 1. Insurance coverage amount(s) shall be no less than: Comprehensive General Liability \$2,000,000 for each occurrence \$4,000,000 general aggregate limit \$4,000,000 aggregate for products and completed operations.
 - ii. Comprehensive Automobile Liability, covering all owned, non-owned, and hired vehicles.
 - 1. Insurance coverage amount(s) shall be no less than: \$1,000,000 each person Bodily Injury \$1,000,000 each occurrence Bodily Injury \$1,000,000 each occurrence Property Damage.
 - 2. All-Risk Course of Construction, for physical loss or damage to the work, temporary buildings, false work, and materials and equipment in transit, and shall insure against (at least) the following perils or causes of loss: Fire, lightning, extended coverage, theft, vandalism, malicious mischief, debris removal, water damage, and demolition occasioned by enforcement of Laws.
 - 3. Insurance coverage amount(s) shall be no less than: 100 percent of

- the completed value of the work that was lost or affected.
- 4. Deductible shall not exceed \$10,000 and shall be the responsibility of the Bidder/Contractor.
- iii. Worker's Compensation Insurance, in accordance with the most recently amended version of the "Workers' Compensation Insurance and Safety Act"
 - 1. Insurance coverage amount(s) shall be that amount established by the State
- d. <u>Human and Physical Resources</u>. Bidder shall identify, describe, and quantify the following information:
 - i.Description of field organization(s), naming skills and equipment
 - ii.Description of safety program, quality control procedures, and safety experience
 - iii.Evidence of a valid California "[A, B or C]" contractor's license
- e. <u>Litigation History</u>. Description of litigation history for the past ten years, including names of involved parties, nature of dispute, and disposition.

GENERAL CONDITIONS

- A. <u>General Conditions for Content</u>. The SOQ shall be clear and concise to enable management-oriented personnel to make a thorough evaluation and arrive at a sound determination as to whether the SOQ meet District's requirement. To this end, the SOQ should be as specific, detailed and complete as to demonstrate clearly and fully that the Bidder has a thorough understanding of and has demonstrated knowledge of the requirements to perform the Work (or applicable portion thereof).
- B. Explanations to SOQ. Any explanation requested by a Bidder regarding the meaning or interpretation of this Document 00450 must be requested in writing by 12:00 Noon on Friday, September 1, 2006. Oral explanations or instructions will not be binding. Any information provided to any prospective Bidder concerning this Document 00450 will be furnished to all prospective Bidders as an Addendum to the Pre-Qualification Documents.
- C. Acknowledgement and Release. By signature and date on this page (of this document), prospective bidder authorizes any financial institution, credit reporting agency and/or service, legal firm or any other type of business, agency or individual named within this document to release to the District (or District's designated representative) any and all information as that information relates, or could relate, to their ability to evaluate the background, stability and general worthiness of this bidder to perform current or future construction activities if Pre-Qualified and awarded a contract by the District.
 - a. A photocopy of this page (with the bidder's signature and date) shall be deemed as valid as an original document with the bidder's original signature.
 - b. This Acknowledgement and Release shall remain in effect until such time as the bidder, in writing, requests that the District cease any attempt to evaluate himself/herself/themselves as potential Pre-Qualified bidder for construction work on the campuses of the San Mateo County Community College District.
- D. <u>Reserved Right</u>. The District reserves the right, for the sole purpose of evaluating a potential Pre-Qualification candidate (bidder), to make other inquiries as permitted by law.

Acknowledgement and Release:	
(Printed name and title)	
Signature	Date

ATTACHMENT "A" - Statement of Qualification Questionnaire

Bidders shall complete the entire Statement of Qualification Questionnaire and submit it in accordance with Instructions provided by the District. Failure to complete the questionnaire or inclusion of any false statement(s) shall be ground for immediate disqualification.

PART A: CONTACT INFORMATION

Fir	m/Contractor Name:				
Bu	siness Address:				
Te	lephone: Fax:	Fax:			
En	nail:				
Ту	pe of Firm: Corporation: Proprietorship:Partnership: Joint Venture: Other (please describe):				
Na Ph	me and title of person completing this questionnaire: one: Email:				
	The Firm/Contractor must be licensed in the State of California. Name of license holder on file with the California State License Board				
	License Classification & Number: Expiration Date	::			
	Number of years license has been issued under firm name:				
	Within the past five years, has the firm been subject to disciplinary action by the California Stat License Board? YES NO If yes, provide details of each action on attached page.	e			
	Have officers or principals of the firm ever had their contractor's license suspended or revoked for any reason?	r			
	YES NO If yes, please explain on attached page.				
1.	Has there been an inquiry or charge by the U.S. Department of Labor, Division of Industrial Relation against your firm within the past five (5) years? YES NO If yes, attach description of inquiry or charge and its status. Include project name, project address, Date of Inquiry/Violation, Description of Inquiry/Violation, Current Status and/or Resolution.	e: of			
2.	Does your firm have any outstanding judgments, demands or liens resulting from violations of the California Labor Code, California Business and Professions Code or State Licensing laws? YES NO If yes, attach description of outstanding judgment(s), demand or lien and its status. Include: project name, project address, Date of Inquiry/Violation, Description of Inquiry/Violation, Current Status and/or Resolution.	d f			

3. Is your firm currently under investigation by any Federal or state agency for failing to comply with

	Federal or state laws, including but not limited to the California Labor Code, California Business and Professions Code or State Licensing laws? YES NO Figure 1
4.	Has your firm been cited for OSHA violations within the past five (5) years? YES NO If yes, attach description of violation and its status. Include: project name, project address, Date of Inquiry/Violation, Description of Inquiry/Violation, Current Status and/or Resolution.
	Does Bidder have home office safety representatives who visit/audit the job site? YES \(\subseteq \text{NO} \subseteq \text{NO} \subseteq \text{.}
6.	Name and title of person: Phone: Email: Do you currently offer a health and welfare benefits for your employees who would work on this project and who are covered by prevailing wage law? YES NO If yes, please provide:
	A description of the health and welfare benefits:
	Eligible employees:
	Plan administrator(s):
	How many years the benefit plan has been in existence:
	Also attach documents that demonstrate you provide such benefits, including but not limited to: the nature of the benefits; who qualifies for them; who provides them; the cost of the benefits; and the method by which the cost per hour is calculated.
7.	Do you currently provide retirement/pension benefits for your employees who would work on this project and who are covered by prevailing wage law? YES \(\subseteq \text{NO} \subseteq \text{If yes, please provide:} \)
	A description of the retirement/pension benefits:
	Eligible employees:
	Plan administrator(s):
	How many years the retirement/pension plan has been in existence:
	Also attach documents that demonstrate you provide such benefits, including but not limited to: the nature of the benefits; who qualifies for them; who provides them; the cost of the benefits; and the method by which the cost per hour is calculated.
8.	Do you employ properly registered apprentices upon Public Works projects, in accordance with California Code of Regulations Title 8, Division 1, Chapter 2, Subchapter 1, Article 10, Section 230.1 (authority cited: Labor Code Sections 1777.5, 1777.6, 1777.7)?

If not, please explain how you comply with applicable Labor Code requirements:
9. In accordance with the California Labor Code, a certified copy of all employees' payroll records shabe made available upon request. Have you provided certified payrolls within the last two (2) years If yes, please complete the following:
Project Name:
Name of Awarding Agency:
Total Certified Payroll:
Total Certified Payroll: Contact Person (name and telephone number):
PREVAILING WAGE PROVISIONS
1. Has Bidder been fined, penalized or otherwise found to have violated any prevailing wage or lab- code provision? If yes, attach description of each occurrence.
YES NO If yes, attach description of violation and its status. Include: project nam project address, Date of Inquiry/Violation, Description Inquiry/Violation, Current Status and/or Resolution.
DISPUTES: LITIGATION AND ARBITRATION HISTORY Other than those projects previously listed in response to Section V, list all projects within the last (19 years in which claims were made against your firm, or claims you made against an Owner or Gener Contractor, resulting in litigation, arbitration, mediation or settlement (attach additional sheets, necessary).
LIST AND ATTACH ALL CURRENT AND/OR /PENDING disputes:
1. Pursued via mediation, arbitration, or litigation
2. Initiated within the last ten (10) years
3. In which additional compensation is sought
4. Where breach of contract is alleged
5. Indemnity is sought6. Between your firm (or any principal of your firm) and any Owner
7. Indicate here if there are any attachments (write 'See Attached' or 'None')
BONDING INFORMATION Prospective General Contractors desiring to be prequalified are informed that they will be subject to an
must fully comply with all Bid conditions including providing 100% payment and 100% performance are informed that they will be subject to an entire fully comply with all Bid conditions including providing 100% payment and 100% performance are informed that they will be subject to an entire fully comply with all Bid conditions including providing 100% payment and 100% performance are informed that they will be subject to an entire fully comply with all Bid conditions including providing 100% payment and 100% performance are informed that they will be subject to an entire fully comply with all Bid conditions including providing 100% payment and 100% performance are informed that they will be subject to an entire fully comply with all Bid conditions including providing 100% payment and 100% performance are informed that the payment and 100% performance are informed that the payment and 100% performance are informed that the payment are informed to be provided to be provided to the payment are informed to be provided to be provided to be provided to the payment are informed to be provided to be provi
bonds. Prospective Contractors shall submit the below form, signed by representative of surety are
notarized. If firm has used current surety for less than five (5) years, list surety(ies) previously used ar
indicate number of years used to demonstrate five (5) complete years of surety history.
1. Is it true that the surety has <i>not</i> paid out any monies for the construction activities of the prospective of the prospecti
General Contractor whatsoever within the last five (5) years? YES \(\subseteq \text{NO} \subseteq \text{NO} \subseteq \text{If answer is no, explain on attached additional sheets.} \)
2. How long has the Prospective Contractor been with this surety? Number of Year

]	Surety Declaration: Provide this Declaration to your surety(ies) for completion. Do not have the surety submit this information directly to the College.
	The undersigned declares under penalty of perjury that the bonding capacity indicated above is true and correct and that this declaration was executed in (County),, (State) on
	(Date).
	(Signature)
	(Name and Title - Printed or Typed)
	(Representing [Insurance Company Name])
	(Firm Name)
	(Address) (City, State, Zip Code)
	Telephone Number) (Facsimile Number) (Email Address)
info	onnel assigned. Summarize similar project experience below and provide the detailed project mation requested: Key Personnel that will be assigned to the Work on the District projects: Project Manager:
	Project Superintendent:
year	ent Projects. List three recent projects completed for an Educational or Public entity in the past five s and indicate who was the Project Manager and Superintendent. If a separate sheet is used, it must ain all of the following information:
1.	Project Name:
	Location:
	Owner:
	Owner Contact (name and phone):
	Architect/Engineer:
	Architect/Engineer Contact (name / phone number):
	Const. Mgr. or Project Mgr. (name / phone number):

	Description of Project, Scope of Work Performed:
	Total Construction Cost:
	Total Change Order Amount:
	Total Change Order Amount:
	Original Scheduled Date of Completion:
	Time Extensions Granted (number of Days):
	Actual Date of Completion:
	Number of Stop Notices filed by Subcontractors or Suppliers:
2.	Project Name:
	Location:
	Owner:
	Owner Contact (name and phone):
	Architect/Engineer:
	Architect/Engineer Contact (name / phone number):
	Const. Mgr. or Project Mgr. (name / phone number):
	Description of Project, Scope of Work Performed:
	Total Construction Cost:
	Total Change Order Amount:
	Original Scheduled Date of Completion:
	Time Extensions Granted (number of Days):
	Actual Date of Completion:
	Number of Stop Notices filed by Subcontractors or Suppliers:
3.	Project Name:
	Location:
	Owner:

	Owner Contact (name and phone):
	Architect/Engineer:
	Architect/Engineer Contact (name / phone number):
	Const. Mgr. or Project Mgr. (name / phone number):
	Description of Project, Scope of Work Performed:
	Total Construction Cost:
	Total Change Order Amount:
	Original Scheduled Date of Completion:
	Time Extensions Granted (number of Days):
	Actual Date of Completion:
	Number of Stop Notices filed by Subcontractors or Suppliers:
1	T E: FINANCIAL INFORMATION . Has Bidder ever reorganized under the protection of bankruptcy laws? Yes No If yes, please state when 2. Identify at least one banking institution where Bidder does business:
N	Name of Institution:
A	Address:
C	Contact person/Phone number
N	Number of years with this institution:
Т	Type(s) of accounts:
A	Average monthly balance:
	Credit limit:
	Security required for credit/credit increase:
AR	T F: INSURANCE INFORMATION
	. If Bidder has had their general liability insurance carrier for less than 5 years, please provide additional information below for balance of the last 3 years:

Phone Number:		
Carrier:		
A.M. Best Rating:		
2. Has Bidder ever had insurance terminal If yes, explain on a separate signed sheet the questionnaire.	nated by a carrier? marked with correlating cross	Yes No -reference to this paragraph of
Insurance Declaration:		
The undersigned declares under penalty and correct and that this declaration was (County),	executed in	
(Signature)		
(Name and Title - Printed or	Typed)	
(Representing [Insurance Co	mpany Name])	
(Firm Name)		
(Address)		(City, State, Zip Code)
Telephone Number)	(Facsimile Number)	(Email Address)
(ATTACH NOTARIZATION	OF INSURER REPRESENTA	ATIVE'S SIGNATURE)
CLARATION undersigned declares under penalty of pen this application is true and correct and a claration.		
undersigned declares under penalty of penalty of penalty of penalty and correct and a		
undersigned declares under penalty of penalty of penalty of penalty application is true and correct and a claration.		
undersigned declares under penalty of penalty of penalty of penalty application is true and correct and a claration.	duly authorized officer of the	

ADDITIONAL REQUESTED INFORMATION FOR STATISTICAL, DISTRICT INFOMRATION AND DEMOGRAPHIC PURPOSES ONLY

SUSTAINABILITY

Has your firm	completed of	r been	involved	with a	a project	or	projects	that	have	been	designed	to	meet
sustainable requ	irements?												
_													

Yes No	
If yes, please provide the following information (please list all sustainable pr	ojects):
Name of Project:	
Year Completed:	

ELECTRONIC SUBMITTALS

As part of the Districts goals towards sustainability, the District is considering requiring that all future pre-qualification submittals be paperless. To achieve this goal, future pre-qualifications submittals would be performed on-line and submitted electronically. Would a requirement to submit this application and all required documents electronically prevent your firm form participating in future District pre-qualification processes?

Yes	No
1 05	110

COMPOSITION OF FIRM OWNERSHIP

Ethnicity

- African American or Black (greater than 50%)
- American Indian or Alaskan Native (greater than 50%)
- Asian (greater than 50%)
- Caucasian or White (greater than 50%)
- Hispanic or Latino (greater than 50%)
- Native Hawaiin/Pacific Islander (greater than 50%)
- Multi-ethnic Ownership (50% Minority 50% Non-Minority)
- Filipino (greater than 50%)

Gender

- ■Female (greater then 50% ownership)
- ■Male (greater than 50% ownership)

Disabled Veteran Business

- ■No
- ■Yes